

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Aster DM Healthcare Limited**  
 2. Quarter ending - **30-Sep-2021**

**i. Composition Of Board Of Director**

T i t l e ( M r / M s )	Name of the Dir ect or	D I N	P A N	Cat ego ry (Ch air per son / Exe cut iv e/ No n- Exe cut iv e/ Inde pend ent/ Nomi nee)	S u b C a t e g o r y	Ini tia l D a t e of A p p o i n t m e n t	Dat e of App oint me nt	Dat e of ces sati on	T e n u r e	D a t e of Bir th	Wh eth er spe cial res olut ion pas sed ?	D a t e of p a ss in g s p e ci al res olut ion	N o. of Di rec tor s hi p in lis ted ent itie s in clu ding this lis ted ent ity	No of Inde pend ent Dire ctor ship in lis ted entit ies in clu ding this lis ted ent ity	No of me m ber s hi ps in Au dit/ Sta keh old er Co mm itte e(s) in clu ding this lis ted ent ity	No of po st of Ch air per son in Au dit/ St ak eh old er Com mit tee hel d in lis ted ent itie s in clu ding this lis ted ent ity	Me m ber shi p in Co mmi tee s of the Co mpa ny	Rem arks
M r.	Ma nd aya pur ath Aza	0 0 1 5 9 4	A E H P M 4	C & ED	M D	01- Dec - 20 14				1 5- A pr- 1	NA		1	0	0	0	NRC	

	d Mo op en	0 3 9 4 B							9 5 3								
M s.	Ali sha Mo op en	0 2 4 3 2 5 2 5 1J	A V H P A 7 7 2 1J	ED		07- Au g- 20 19			1 1- Ju l- 1 9 8 1	NA		1 0	1 0	AC,RC			
M r.	Th ada thil Jos ep h Wil son	0 2 1 3 5 1 0 8 8 7 P	A A G P W 9 8 8 7 P	NED		20- Apr - 20 09			1 4- M a y- 1 9 6 1	NA		1 0	1 0	AC,SC, RC			
M r.	An oo p Mo op en	0 2 3 0 1 3 6 2 2 B	A U X P A 0 5 0 7 B	NED		20- Apr - 20 09			1 7- D ec - 1 9 7 6	NA		1 0	1 0	SC			
M r.	Sha ms ud hee n Bin Mo hid een Ma m mu Haj i	0 2 0 0 7 2 7 9	A K L P M 9 4 1 2 H	NED		16- Sep - 20 15			2 6- M a y- 1 9 6 3	NA		1 0	0 0				
M r.	Da nie	0 0	E Y	NED		18- Jan			0 6-	NA		1 0	0 0	RMC,N RC			

	l R o b e r t  M i n t z	9 6 0 9 2 7 8 1 4 H	O P M 5 7 1 4 H			- 20 12			S e p- 1 9 6 1									
M r.	S u r e s h  M u t h u k r i s h n  a K u m a r	0 0 4 9 4 7 9 0 6 1 M	A A G P M 9 0 6 1 M	ID		16- S e p - 20 15	16- S e p- 2020	15- S e p - 20 21	7 2	0 8- J u l- 1 9 5 0	NA		1	1	1	1	AC,SC	
M r.	B i j u  V a r k k e  y	0 1 2 9 8 2 8 1 1 9 N	A D K P V 2 3 1 9 N	ID		12- N o v- 20 18	12- N o v- 2018		3 5	2 2- D e c - 1 9 6 5	NA		2	2	0	0	RMC,N RC	
M s.	L a y l a  M o h a m e d  H a s s a n  A l i A l m a r z o o q i	0 8 4 0 1 4 2 5 9 9 Z	Z Z Z Z 9 9 9 9 Z	ID		28- M a r- 20 19	28- M a r- 2019		3 0	0 8- J u n- 1 9 7 4	NA		1	1	1	0	AC	Ms.L a y l a M o h a m e d H a s s a n A l i A l m a r z o o q i i s a n N R I a n d h e n c e h e r P A N i s n o t a v a i l a b l e

M r.	Ch ena yap pill il Joh n Ge orge	0 0 0 3 1 3 2	A A N PJ 6 5 6 8 K	ID		11- Apr - 20 20	11- Apr- 2020		1 8	2 2- Mar - 1 9 5 9	NA		3	2	4	0	AC	
M r.	Ja me s Ma the w	0 7 5 7 2 2 9 0 9	A LJ PJ 2 2 2 1 N	ID		23- Jun - 20 20	23- Jun- 2020		1 5	2 5- May- 1 9 6 6	NA		1	1	2	2	AC,SC, RC	
M r.	Sri dar Arv am ud ha n Iye nga r	0 0 2 7 8 5 1 2	A A C PI 7 5 1 4 D	ID		19- Jul- 20 20	19- Jul- 2020		1 4	1 3- Aug- 1 9 4 7	Yes	1 4- Aug- 2 0 2 0	3	3	3	3	RMC,N RC	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	James Mathew	ID	Chairperson	12-Aug-2020	
2	Layla Mohamed Hassan Ali Almarzooqi	ID	Member	28-May-2019	
3	Chenayappillil John George	ID	Member	20-Apr-2020	
4	Alisha Moopen	ED	Member	28-May-2019	
5	Thadathil Joseph Wilson	NED	Member	21-Apr-2015	15-Sep-2021
6	Suresh Muthukrishna Kumar	ID	Chairperson	18-May-2016	15-Sep-2021

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	James Mathew	ID	Chairperson	12-Aug-2020	
2	Thadathil Joseph Wilson	NED	Member	21-Apr-2015	
3	Anoop Moopen	NED	Member	21-Apr-2015	
4	Suresh Muthukrishna Kumar	ID	Chairperson	14-Aug-2018	15-Sep-2021

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Alisha Moopen	ED	Chairperson	28-May-2019	
2	Thadathil Joseph Wilson	NED	Member	28-May-2019	
3	Daniel Robert Mintz	NED	Member	28-May-2019	
4	Biju Varkkey	ID	Member	28-May-2019	
5	James Mathew	ID	Member	12-Aug-2020	
6	Sridar Arvamudhan Iyengar	ID	Member	12-Aug-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Biju Varkkey	ID	Chairperson	13-Feb-2019	
2	Sridar Arvamudhan Iyengar	ID	Member	12-Aug-2020	
3	Mandayapurath Azad Moopen	C & ED	Member	28-May-2019	
4	Daniel Robert Mintz	NED	Member	22-Nov-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
22-Jun-2021	11-Aug-2021	Yes	11	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	49

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	21-Jun-2021		Yes	6	4
Audit Committee		11-Aug-2021	Yes	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	50

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
  - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : Kiran R Baddi  
**Designation** : Compliance Officer

**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : Kiran R Baddi  
**Designation** : Compliance Officer

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			



<i>KMPs or any other entity controlled by them</i>			
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**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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<b>Affirmations</b>
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>

<b>Company Remarks in case of non-compliant status</b>	
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**Name:**  
**Designation:**  
**Place:**  
**Date:**