

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - Aster DM Healthcare Limited  
 2. Quarter ending - 31-Mar-2019

**i. Composition Of Board Of Director**

T i t l e  ( M r / M s )	Name of the Director	DI N	P A N	Cate gory (Chai rpers on /Execu tive/No n- Execut ive/ Indepe ndent/ Nomin ee)	S u b C a t e g o r y	Dat e of App oint me nt	Dat e of ces sati on	T e n u r e	No of Dir ect ors hip in list ed enti ties incl uding this list ed enti ty	No of me mb ers hips in Au dit/ Sta keh old er Co mm itte e(s) incl uding this list ed enti ty	No of post of Chai rper son in Audi t/ Stak ehol der Co mmi ttee held in liste d entiti es incl uding this liste d entit y	Me mbe rshi p in Co mmi ttee s of the Co mpa ny	Remarks
Mr .	MANDAYAPU RATH AZAD MOOPEN	0 0 1 5 9 4 0 3	AE HP M4 094 B	C & ED	M D	01- Dec- 2014		6 0	1	0	0		
Mr .	HARSH CHARANDAS MARIWALA	0 0 2 1 0 3 4 2	AA DP M6 929 R	ID		17- Sep- 2015	13- Feb - 201 9	6 0	5	1	0		
Mr	SURESH	0	AA	ID		17-		6	2	3	2		

.	MUTHUKRISHNA KUMAR	0494479	GP M9 061 M			Sep-2015		0					
Mr .	DANIEL ROBERT MINTZ	00960928	EY OP M5 714 H	NED		20-Sep-2017			1	0	0		
Mr .	SHAMSUDHEN BIN MOHIDEEN MAMMU HAJI	02007279	AK LP M9 412 H	NED		17-Sep-2015			1	0	0		
Mr .	THADATHIL WILSON	02135108	AA GP W9 887 P	NED		20-Apr-2009			1	2	0		
Mr .	DANIEL JAMES SNYDER	02298099	GN FP S43 45 A	ID		17-Sep-2015		60	1	0	0		
Mr .	ANOOP MOOPEN	02301362	AU XP A0 507 B	NED		20-Apr-2009			1	1	0		
Ms .	ALISHA MOOPEN	024	AV HP A7	NED		20-Sep-2013			1	0	0		

		3 2 5 2 5	721 J										
Mr .	MANIEDATH MADHAVAN NAMBIAR	0 1 1 2 2 4 1 1	AA AP N9 333 K	ID		17- Sep- 2015		6 0	2	3	2		
Mr .	RAVI PRASAD	0 7 0 2 2 3 1 0	AC ZP P06 06 N	ID		17- Sep- 2015		6 0	1	1	0		
Mr .	BIJU VARKKEY	0 1 2 9 8 2 8 1	AD KP V2 319 N	ID		12- Nov- 2018		3 6	3	2	1		
Ms .	LAYLA MOHAMED HASSAN ALI ALMARZOOQI	0 8 4 0 1 4 2 5	ZZ ZZ Z9 999 Z	ID		28- Mar- 2019		3 6	1	0	0		nForeign National - Does not possess a valid PAN card

Company Remarks	
Whether Permanent chairperson appointed	Yes

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	MANIEDATH MADHAVAN NAMBIAR	ID	Chairperson	21-Apr-2015	

2	RAVI PRASAD	ID	Member	21-Apr-2015	
3	THADATHIL WILSON	NED	Member	21-Apr-2015	
4	SURESH MUTHUKRISHNA KUMAR	ID	Member	18-May-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SURESH MUTHUKRISHNA KUMAR	ID	Chairperson	14-Aug-2018	
2	THADATHIL WILSON	NED	Member	21-Apr-2015	
3	ANOOP MOOPEN	NED	Member	21-Apr-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	MANIEDATH MADHAVAN NAMBIAR	ID	Chairperson	21-Apr-2015	
2	RAVI PRASAD	ID	Member	21-Apr-2015	
3	THADATHIL WILSON	NED	Member	21-Apr-2015	
4	SURESH MUTHUKRISHNA KUMAR	ID	Member	18-May-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIJU VARKEY	ID	Chairperson	13-Feb-2019	
2	DANIEL JAMES SNYDER	ID	Member	21-Apr-2015	
3	DANIEL ROBERT MINTZ	NED	Member	22-Nov-2016	
4	ALISHA MOOPEN	NED	Member	21-Apr-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

<b>Date(s) of Meeting (if any) in the previous quarter</b>	<b>Date(s) of Meeting (if any) in the relevant quarter</b>
12-Nov-2018	13-Feb-2019

Company Remarks	
Maximum gap between any two consecutive (in number of days)	92

iv. **Meeting of Committees**

<b>Name of the Committee</b>	<b>Date(s) of meeting during of the committee in the previous quarter</b>	<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Requirement of Quorum met (details)</b>	<b>Whether requirement of Quorum met (Yes/No)</b>
Audit Committee	12-Nov-2018	12-Feb-2019		Yes
Risk Management Committee	12-Nov-2018	12-Feb-2019		Yes
Stakeholders Relationship Committee	12-Nov-2018	12-Feb-2019		Yes
Nomination & Remuneration Committee		12-Feb-2019		Yes

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	91

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015

- a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
- b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **Puja Aggarwal**  
**Designation** : **Company Secretary & Compliance Officer**

## ANNEXURE II

### Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

<b>I. Disclosure on website in terms of Listing Regulations</b>			
<b>Item</b>	<b>Compliance status</b>	<b>Company Remark</b>	<b>Website</b>
Details of business	Yes		www.asterdmhealthcare.c
Terms and conditions of appointment of	Yes		www.asterdmhealthcare.c
Composition of various committees of	Yes		www.asterdmhealthcare.c
Code of conduct of board of directors and	Yes		www.asterdmhealthcare.c
Details of establishment of vigil mechanism/	Yes		www.asterdmhealthcare.c
Criteria of making payments to non-	Yes		www.asterdmhealthcare.c
Policy on dealing with related party	Yes		www.asterdmhealthcare.c
Policy for determining 'material' subsidiaries	Yes		www.asterdmhealthcare.c
Details of familiarization programs imparted	Yes		www.asterdmhealthcare.c
Contact information of the designated officials of the listed	Yes		www.asterdmhealthcare.c om/investors
email address for grievance redressal and	Yes		www.asterdmhealthcare.c
Financial results	Yes		www.asterdmhealthcare.c
Shareholding pattern	Yes		www.asterdmhealthcare.c
Details of agreements entered into with the media companies and/or	Not Applicable		
New name and the old name of the listed	Not		
<b>II Annual Affirmations</b>			
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status</b>	<b>Company Remark</b>
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment &amp; Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1) & (2)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7) &	Yes	

<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Maximum Directorship &amp; Tenure</i>	25(1) & (2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

<b>Other Information</b>	
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### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

<b>Other Information</b>	
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**Name** : **Puja Aggarwal**  
**Designation** : **Company Secretary & Compliance Officer**