

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity -Aster DM Healthcare Limited
 2. Quarter ending - 30-09-2020

i. Composition Of Board Of Director

T i t l e (M r / M s)	Name of the Dir ect or	D I N	P A N	Cat ego ry (Ch air per son / Exe cutiv e/ No n- Exec utive/ Inde pend ent/ Nomi nee)	S u b C a t e g o r y	Ini tia l D a t e of A p p o i n t m e n t	Dat e of App oint me n t	Dat e of ces sati on	T e n u r e	D a t e of Bir th	Wh eth er spe cial res olut ion pas sed ?	D a t e of p a ss in g s p e ci al res olut ion	N o. of Di re ct or s hi p in lis ted ent itie s in clu ding this lis ted ent ity	No of Inde pend ent Dire ct or ship in lis ted entit ies in clu ding this lis ted ent ity	No of me m ber s hi ps in Au dit/ Sta keh old er Co mm itte e(s) in clu ding this lis ted ent ity	No of po st of Ch air per son in Au dit/ St ak eh old er Co m mit tee hel d in lis ted ent itie s in clu ding th is lis ted ent ity	Me m ber shi p in Co m mit tee s of the Co m pa ny	Rem arks
M r.	MA ND AY AP UR AT	0 0 1 5 9 4	A E H P M 4	C & ED	M D	01- Dec - 20 14	01- Dec- 2019			1 5- A p r- 1	NA		1	0	0	0	NRC	

	H AZ AD MO OP EN	0 3	0 9 4 B						9 5 3								
M s.	ALI SH A MO OP EN	0 2 4 3 2 5 2 5	A V H P A 7 7 2 1J	ED		07- Au g- 20 19			1 1- Ju l- 1 9 8 1	NA		1	0	1	0	AC,RC	
M r.	TH AD AT HIL JOS EP H WI LS ON	0 2 1 3 5 1 0 8 8	A A G P W 9 8 8 7 P	NED		20- Apr - 20 09			1 4- M a y- 1 9 6 1	NA		1	0	2	0	AC,SC, RC	
M r.	AN OO P MO OP EN	0 2 3 0 1 3 6 2	A U X P A 0 5 0 7 B	NED		20- Apr - 20 09			1 7- D ec - 1 9 7 6	NA		1	0	1	0	SC	
M r.	SH AM SU DH EE N BI N MO HI DE EN MA M MU	0 2 0 0 7 2 7 9	A K L P M 9 4 1 2 H	NED		16- Sep - 20 15			2 6- M a y- 1 9 6 3	NA		1	0	0	0		

	HA JI																
M r.	DA NI EL RO BE RT MI NT Z	0 0 9 6 0 9 2 8	E Y O P M 5 7 1 4 H	NED		18- Jan - 20 12			0 6- S e p- 1 9 6 1	NA		1	0	0	0	RMC,N RC	
M r.	SU RE SH MU TH UK RIS HN A KU MA R	0 0 4 9 4 4 7 9	A A G P M 9 0 6 1 M	ID		16- Sep - 20 15	16- Sep- 2020	6 0	0 8- Ju l- 1 9 5 0	NA		2	2	3	3	AC,SC	
M r.	BIJ U VA RK KE Y	0 1 2 9 8 2 8 1	A D K P V 2 3 1 9 N	ID		12- No v- 20 18	12- Nov- 2018	2 3	2 2- D ec - 1 9 6 5	NA		3	2	3	0	AC,RC, NRC	
M s.	LA YL A MO HA ME D HA SS AN ALI AL MA RZ OO QI	0 8 4 0 1 4 2 5	Z Z Z Z 9 9 9 9 Z	ID		28- Ma r- 20 19	28- Mar- 2019	1 8	0 8- Ju n- 1 9 7 4	NA		1	1	1	0	AC	Ms.L ayla Moh ame d Hass an Ali Alma rzoo qi is an NRI and henc e her

																	PAN is not available
M r.	CH EN AY AP PIL LIL JO HN GE OR GE	0003132	AANPJ6568K	ID		11-Apr-2020	11-Apr-2020		6	22-Mar-1959	NA		3	2	4	0	AC,NRC
M r.	JAMES MA THEW	075722909	ALJPJ221N	ID		23-Jun-2020	23-Jun-2020		3	25-May-1966	NA		1	1	2	0	AC,SC,RC
M r.	SRIDAR VAMUDHAN IYEN GAR	0278512	AA C P I 7 5 1 2 D	ID		19-Jul-2020	19-Jul-2020		2	13-Aug-1947	Yes	14-Aug-2020	3	3	3	3	RMC,NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SURESH MUTHUKRISHNA KUMAR	ID	Chairperson	18-May-2016	
2	THADATHIL JOSEPH WILSON	NED	Member	21-Apr-2015	
3	ALISHA MOOPEN	ED	Member	28-May-2019	
4	LAYLA MOHAMED HASSAN ALI ALMARZOOQI	ID	Member	28-May-2019	
5	BIJU VARKEY	ID	Member	20-Apr-2020	
6	CHENAYAPPILLIL JOHN GEORGE	ID	Member	20-Apr-2020	
7	JAMES MATHEW	ID	Member	12-Aug-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SURESH MUTHUKRISHNA KUMAR	ID	Chairperson	14-Aug-2018	
2	THADATHIL JOSEPH WILSON	NED	Member	21-Apr-2015	
3	ANOOP MOOPEN	NED	Member	21-Apr-2015	
4	JAMES MATHEW	ID	Member	12-Aug-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ALISHA MOOPEN	ED	Chairperson	28-May-2019	
2	THADATHIL JOSEPH WILSON	NED	Member	28-May-2019	
3	BIJU VARKEY	ID	Member	28-May-2019	
4	DANIEL ROBERT MINTZ	NED	Member	28-May-2019	
5	JAMES MATHEW	ID	Member	12-Aug-2020	
6	SRIDAR ARVAMUDHAN IYENGAR	ID	Member	12-Aug-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIJU VARKEY	ID	Chairperson	13-Feb-2019	
2	DANIEL ROBERT MINTZ	NED	Member	22-Nov-2016	
3	MANDAYAPURATH AZAD MOOPEN	C & ED	Member	28-May-2019	
4	CHENAYAPPILLIL JOHN GEORGE	ID	Member	20-Apr-2020	12-Aug-2020
5	SRIDAR ARVAMUDHAN IYENGAR	ID	Member	12-Aug-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
23-Jun-2020	12-Aug-2020	Yes	12	6

Company Remarks	
Maximum gap between any two consecutive (in number of days)	49

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	22-Jun-2020		Yes	6	4
Audit Committee		11-Aug-2020	Yes	5	3
Audit Committee		18-Sep-2020	Yes	6	5
Nomination & Remuneration Committee	02-Apr-2020		Yes	4	3
Nomination & Remuneration Committee	22-Jun-2020		Yes	3	2
Nomination & Remuneration Committee		11-Aug-2020	Yes	3	2
Nomination & Remuneration Committee		14-Sep-2020	Yes	3	2

Stakeholders Relationship Committee	23-Jun-2020		Yes	3	1
Stakeholders Relationship Committee		11-Aug-2020	Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	49

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

Comments

Affirmations

Name : **Puja Aggarwal**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III**Affirmations**

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : Puja Aggarwal
Designation : Company Secretary & Compliance Officer